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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Gerhard Jaehne et alApplication No./Patent No. 10/692,735 Filed/Issue Date: October 27, 2003Entitled: Polysubstituted Indan-1-OL Compounds, and methods for their preparation and useAventis Pharma Deutschland GmbH

(Name of Assignee)

, a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the parent application (10,231,362 filed August 30, 2002) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 013605, Frame 0090, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

11/1/04
Date

Barbara E. Kurys

Typed or printed name

Barbara E. Kurys
Signature

Attorney/Agent for Applicant

Title

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RECORDATION DATE: 12/18/2002

REEL/FRAME: 013605/0090
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

JAEHNE, GERHARD

DOC DATE: 11/19/2002

ASSIGNOR:

KRONE, VOLKER

DOC DATE: 11/20/2002

ASSIGNOR:

BICKEL, MARTIN

DOC DATE: 11/26/2002

ASSIGNOR:

GOSSELL, MATTHIAS

DOC DATE: 11/26/2002

ASSIGNEE:

AVENTIS PHARMA DEUTSCHLAND GMBH

65929 FRANKFURT AM MAIN

FED REP

GERMANY

SERIAL NUMBER: 10231362

PATENT NUMBER:

FILING DATE: 08/30/2002
ISSUE DATE:

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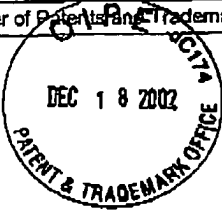
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To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gerhard JAEHNE
Volker KRONE
Martin BICKEL
Matthias GOSSEL

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Execution Date: November 19, 2002; November 20, 2002;
November 26, 2002 and November 26, 2002, respectively

2. Name and address of receiving party(ies)

Name: AVENTIS PHARMA DEUTSCHLAND GmbH

Internal Address: _____

Street Address: 65929 Frankfurt am Main, GERMANY

City: _____ State: _____ Zip: _____

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is: _____

A. Patent Application No.(s)
10/231,362

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Patricia D. GranadosInternal Address: Heller Ehman White & McAuliffe LLPStreet Address: 1666 K Street, NWSuite 300City: Washington State: DC Zip: 200066. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41)\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patricia D. Granados

Name of Person Signing

Signature

Date

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Mail documents to be recorded with required cover sheet information to:

12/30/2002 BTUM11 00000118 10231362

01 FC:8021

40.00 UP



26633

Attorney Docket No.: 38005-0172

ASSIGNMENT AND AGREEMENT

WHEREAS, Gerhard Jaehne, Volker Krone, Martin Bickel and Matthias Gossel (hereinafter referred to singly and collectively as "AS SIGNOR") have invented a certain invention entitled **POLYSUBSTITUTED INDAN-1-OL COMPOUNDS, AND METHODS FOR THEIR PREPARATION AND USE** for which an application for United States Letters Patent was filed on August 30, 2002 as Application No. 10/231,362; and

WHEREAS, Aventis Pharma Deutschland GmbH, having its principal place of business at 65929 Frankfurt am Main, Germany (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

NOW THEREFORE, in view of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or

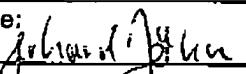
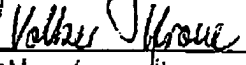
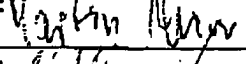
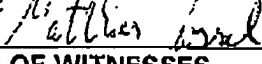
Attorney Docket No.: 38005-0172

inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Heller Ehrman White & McAuliffe the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Heller Ehrman White & McAuliffe do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

NAMES AND SIGNATURES OF INVENTORS		
Name: Gerhard Jaehne	Signature: 	Date: 19.11.02
Name: Volker Krone	Signature: 	Date: 20.11.02
Name: Martin Bickel	Signature: 	Date: 26.11.02
Name: Matthias Gossel	Signature: 	Date: 26.11.02
NAMES AND SIGNATURES OF WITNESSES		
Name:	Signature:	Date:
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